

Date: 22 August 1977

TO: The Director

FROM: [REDACTED]

SUBJECT: Study on Export Controls of U. S. Technology

REFERRAL TO NSC NOT REQUIRED

REMARKS:

FYI

The subject of export controls on U. S. technology
has come up before [REDACTED]

[REDACTED]
subject became embroiled in debate over whether
or not to give certain items a license for shipment
abroad or not.

This is one of those topics--like USSR electronic
collections--which is involved with the business
and commercial world and which involves questions
of security versus profit-making incentives. Our
role is not direct, but often we have info about
the ultimate addressee of third-party items
involving U. S. technology (which often involves
sensitive sources and methods) which could be
applied [REDACTED]

This paper calls for a review of the subject leading
to submission to the SCC.

EXECUTIVE SECRETARIAT

Routing Slip

DCI
✓

TO:		ACTION	INFO	DATE	INITIAL
1	DCI	<i>M</i>	X		
2	DDCI		X		
3	D/DCI/IC		X		
4	DDS&T		X		
5	DDI		X		
6	DDA				
7	DDO		X (Attn:)		
8	D/DCI/NI	X			
9	GC				
10	LC				
11	IG				
12	Compt				
13	D/Pers				
14	D/S				
15	DTR				
16	A/DCI/PA				
17	AO/DCI				
18	C/IPS				
19	DCI/SS				
20					
21					
22					

SUSPENSE _____ Date _____

Remarks:

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August 18, 1977

Presidential Review Memorandum/NSC -31

TO: The Vice President
The Secretary of State
The Secretary of Defense

ALSO: The Secretary of the Treasury
The Secretary of Commerce
The Secretary of Labor
The Secretary of Energy
The Director, Office of Management & Budget
The Chairman, Council of Economic Advisers
The Director, Arms Control & Disarmament Agency
The Chairman, Joint Chiefs of Staff
The Director of Central Intelligence
The Assistant to the President for Domestic Affairs
and Policy
The Director, National Science Foundation
The Director, Office of Science & Technology Policy
The Chairman, Nuclear Regulatory Commission

SUBJECT: Export Control of US Technology

The President has directed that the SCC review our policy on the export control of US technology transfer to Communist countries. The review should develop options for Presidential decision concerning objectives, criteria, control measures, organizational arrangements, and possible legislative initiatives regarding export control. The review should provide the basis for reports on these matters called for by recent legislation and recommend guidelines for US participation in the upcoming review of the COCOM list.

The review should include:

- An examination of existing policy, criteria and current mechanisms for control of technology transfer and an evaluation of the degree to which the objectives of such control have been attained.

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- An assessment of the military, political, and economic implications for the US and its allies of technology transfer to the various Communist states and an evaluation of how trade-offs among these factors are and should be made. To place this assessment in perspective, an evaluation should be carried out of the implications for US of technology transfer to other industrial and Third World countries.
- An evaluation of which technologies, and in what form, are most in need of control, together with development of criteria and recommended procedures for carrying out such control.
- An analysis of the policies of COCOM and non-COCOM supplier states regarding the transfer of technology to Communist states, including the likelihood of obtaining cooperation by other key supplier countries.
- An assessment of the advantages and disadvantages of establishing varying standards of export control for specific Communist states, together with development of criteria and procedures for applying such control.
- Identification of the interaction between export control and US policies on related issues (non-proliferation, arms transfers, and North-South technology transfer).

The review should draw on existing PRM responses and other studies. It will be chaired by Ben Huberman on behalf of the NSC and OSTP staffs. It should be submitted for discussion by the SCC by November 1, 1977.

There will be a follow-on interagency study dealing with the use of US technology transfer as a positive influence in North-South relations.

Zbigniew Brzezinski

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